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Communication

Brussels, 28 June 2022

Reference: NBB_2022_14

your correspondent: helpdesk.supervision@nbb.be

Scope

- Credit institutions, stockbroking firms, payment institutions and electronic money institutions governed by Belgian law, and the branches of these institutions abroad,
- Credit institutions affiliated to a central institution with which they form a federation,
- Branches established in Belgium of credit institutions, stockbroking firms, payment institutions and electronic money institutions governed by the law of another Member State of the European Economic Area (EEA) and/or tied agents established in Belgium,
- Branches established in Belgium of credit institutions, stockbroking firms, payment institutions and electronic money institutions governed by the law of another non-EEA Member State,
- Credit institutions and stockbroking firms governed by the law of another EEA Member State that use a tied agent established in Belgium to provide investment services and/or carry out investment activities there,
- Credit institutions governed by the law of another EEA Member State that use a tied agent established in Belgium to provide services which consist of receiving deposits or other repayable funds there,
- Payment institutions and electronic money institutions governed by the law of another EEA
 Member State that respectively provide payment services or distribute electronic money in
 Belgium solely through agents or distributors,
- Financial institutions governed by Belgian law that are the subsidiary of one or more credit institutions governed by Belgian law and that are authorized to exercise their activities in other EEA Member States,
- Financial services groups headed by a Belgian regulated institution,
- Financial services groups headed by a Belgian mixed financial holding company,

- Financial holding companies governed by Belgian and foreign law subject to consolidated supervision,
- Central Securities Depositories (CSDs), institutions providing support to CSDs, custodian banks governed by Belgian law and the branches of the aforementioned establishments abroad,
- Branches established in Belgium of institutions providing support to CSDs and to custodian banks governed by the law of another Member State and/or tied agents established in Belgium,
- Branches established in Belgium of institutions providing support to CSDs and to custodian banks governed by the law of another non-EEA Member state,
- Insurance and reinsurance companies governed by Belgian law (including the companies referred to in Article 276 of the Law of 13 March 2016 on the legal status and supervision of insurance or reinsurance companies (hereinafter referred to as the 'insurance supervision law'), but excluding the firms referred to in Articles 275 Companies that have entered into an agreement providing for full and systematic reinsurance, and 294 Local insurance companies of the insurance supervision law),
- Branches established in Belgium of insurance or reinsurance companies governed by the law of another EEA Member State.
- Branches established in Belgium of insurance or reinsurance companies governed by the law of a non-EEA Member State,
- Entities responsible for an insurance or reinsurance group governed by Belgian law within the meaning of Articles 339, 2° and 343 of the insurance supervision law,
- Statutory auditors of the firms concerned.

Summary/Purpose:

- To inform establishments of the launch of the 'NBB Supervision' portal for collecting unstructured reporting;
- More generally to explain the changes brought about by this new Bank portal to the various forms and communication platforms for the exchange of information between the Bank and the establishments under its supervision.

Dear Madam, Dear Sir,

This communication is to inform financial institutions of the launch of the 'NBB Supervision' portal, available from the second half of 2022, for the submission of unstructured reports, which had previously been collected via eCorporate.

From 4 July, Central Securities Depositories, institutions providing support to Central Securities Depositories, custodian banks governed by Belgian law and the branches established in Belgium of the aforementioned institutions, will be invited to use the new portal to submit the unstructured documents required by the National Bank of Belgium.

From 3 October, all the other institutions will also be invited to use the new portal to submit their documents.

The eCorporate application will subsequently be deactivated.

1. The NBB Supervision portal

In the new NBB Supervision portal, institutions will be able to:

- consult their qualitative documents sent to the Bank,
- consult the reports still pending from them on the OneGate application,
- download standard documents such as their ID form and their reporting sheet,
- consult and manage some of their technical characteristics,
- manage their users' access to the portal functionalities and documents.

The portal will in due time be added to with various functionalities, and will become the channel of choice for interaction between the NBB and the institutions under supervision.

2. Accessibility to the portal

Institutions will be offered two possibilities for connecting to the new NBB Supervision portal:

- Using a commercial digital certificate;
- Connecting through the external interface CSAM, the gateway to online services of the government.

The first option is to connect with a digital certificate. The application accepts certificates from Isabel, GlobalSign and QuoVadis. All institutions will be able to upload and/or consult its documents with the digital certificate.

There will also be the option to connect via CSAM using various digital keys.

Each user can identify themselves with their eID. This is the government registration standard and the highest level of security.

For questions on the eID, please see the CSAM's help page on the eID.

It will also be possible to log on using itsme, the digital ID. In order to use this option, users must already be registered with itsme. They will then be asked for their digital identifier to connect securely through their smartphone.

For questions on itsme, please see the CSAM's help page on itsme.

3. Managing access for your institution

When the portal is launched, the person given as administrator in the eCorporate application will by default be assumed to be the administrator for the new portal as well. However, each institution has the opportunity to modify the choice of administrator.

An e-mail will be sent to the eCorporate administrator of each institution to confirm their contact details. If an institution wishes to change its administrator, an e-mail must be sent to the Bank with the name, surname and e-mail address of the new administrator, to the following address: helpdesk.supervision@nbb.be.

Following the confirmation from each institution, an e-mail with the log-in codes will be sent to the person designated as administrator, who will then be in charge of inviting the other users (the institution's auditor and other users for the institution) in the NBB Supervision portal.

It will therefore be up to the administrators of each institution to manage all the accesses linked to their institution (adding and removing a user, consulting profiles, managing user rights etc.).

4. Regular reporting

Central Securities Depositories, institutions providing support to Central Securities Depositories, custodian banks governed by Belgian law and the branches established in Belgium of the aforementioned institutions will have to send the regular reporting of 30 June 2022 to the National Bank of Belgium through the 'NBB Supervision' platform from 4 July.

For all other institutions, eCorporate will remain the application to use until 3 October 2022.

The regular reporting of 30 September 2022 will have to be sent via the 'NBB Supervision' platform by all institutions under supervision. The eCorporate application will no longer be accessible from that date.

The list of reports to be submitted by institutions under supervision remains unchanged.

5. Migration of documents previously submitted to the Bank

All the documents submitted to the Bank since 2007 in the eCorporate application will be migrated and will remain available and searchable in the new 'NBB Supervision' portal.

6. Submission of unstructured documents

The new portal will offer each institution a clear overview on the reports expected by the National Bank of Belgium and they will be able to upload these documents through the OneGate application, already used for structured documents.

From 4 July 2022, all the documents from Central Securities Depositories, institutions providing support to Central Securities Depositories, custodian banks governed by Belgian law and the branches established in Belgium of the aforementioned institutions will have to be submitted using the OneGate application. This application can be found via the following link: https://onegate-certificate.nbb.be/.

From 3 October 2022, all reports expected from institutions under supervision will have to be submitted via the OneGate application.

For more information on the OneGate application in general, institutions may visit the application's website and consult the 'quick-start guide' at the following address: https://www.nbb.be/en/statistics/onegate-declarations/documentation-about-onegate.

In case of technical problems concerning access to OneGate (navigator or security problems etc.) each institution can contact the Bank's IT Service Desk on the following telephone number (+32) (0)2 221 40 60. If you have managed to log in to OneGate but you have access issues (you can't access your firm(s) or the documents you want on the application), please send an e-mail to access.oneGate@nbb.be.

Finally, the Reporting and notification portal page on the Bank's website will be changed as follows:

- The 'NBB Supervision' portal will be added to the list of applications as well as the corresponding point of contact.
- Within the application, institutions will also find the points of contact at the National Bank of Belgium in charge of each aspect: the ID form of each institution will be available under the 'My institution' tab.
- For institutions using the reporting sheet, it will also be available under the 'My institution' tab.

7. Training on the use of the new portal

Over the month of June, a webinar will be organised for Central Securities Depositories, institutions providing support to Central Securities Depositories, custodian banks governed by Belgian law and the branches established in Belgium of the aforementioned institutions, which will be the first institutions to use the portal to submit unstructured documents from 4 July.

In September, several webinars will be organised to prepare all the other institutions for using the new portal from 3 October.

A quick user guide will be provided on the website dedicated to the portal. A more detailed user guide will be available in the application.

A copy of this communication is also sent to the accredited statutory auditor(s) of your institution.

Steven Vanackere

Vice-governor

Yours faithfully,

P.P. Pierre Wunsch