

<b>Test ID</b>	<b>IOP-AS-SB-6RT-600</b>
<b>Function</b>	<b>Procedure 6 real-time Settlement – SB ask to AS to send an ASTI message:</b> Current order by AS on behalf of a SB to increase or decrease the Technical Account
<b>Test category</b>	COUS
<b>Apply to</b>	<b>SB of AS using procedure 6 real-time settlement</b>
<b>Business day phase</b>	From night-time till day-light excluding maintenance window
<b>Pre-conditions</b>	<p><b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others</p> <p><b>Start of Procedure:</b> ASI starts the procedure at the start of the NTS opens for night-time processing</p>
<b>Description</b>	<b>AS send an ATransferInitiation as Current order on behalf of its Settlement Bank during daylight or night-time phases <u>after SB request</u> .</b>
<b>Expected results</b>	<p><b>Funding (defunding) during night-time or daylight:</b></p> <p>SB able to request to AS to send on behalf a current order <input type="checkbox"/></p> <p>After AS has sent the ASTI , SB able to receive and treat the corresponding notifications MT900-910 sent by ASI (optional)<input type="checkbox"/></p>
<b>References</b>	UDFS I/2.8.3; IV/6.6 <span style="float: right;">ICM book I,</span>

<b>Test ID</b>	<b>IOP-AS-SB-6RT-610</b>
<b>Function</b>	<b>Procedure 6 real-time Settlement – Current Order A2A by SB</b>
<b>Test category</b>	COUS
<b>Apply to</b>	SB of AS using procedure 6 for real-time settlement
<b>Business day phase</b>	From night-time till day-light with the exclusion of other phases
<b>Pre-conditions</b>	<p><b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others</p> <p><b>Start of Procedure:</b> ASI starts the procedure at the start of the NTS opens for night-time processing</p>
<b>Description</b>	<b>Settlement Bank send a SBTransferInitiation as Current order to credit the technical account.</b>
<b>Expected results</b>	<p><b>Funding technical account:</b> SB send a Current order with XML message “SBTransferInitiation” to fund the technical account of the AS proc 6 real-time <input type="checkbox"/></p> <p>SB able to receive and treat the notification MT900 sent by PM (optional) <input type="checkbox"/></p>
<b>References</b>	UDFS I/2.8.3; IV/6.6 <span style="float: right;">ICM book I</span>

<i>Test ID</i>	<b>IOP-AS-SB-6RT-620</b>
<i>Function</i>	<b>Procedure 6 real-time Settlement – Current Order A2A by SB (negative case due to insufficient funds):</b> Current order to credit the Technical Account rejected by SSP.
<i>Test category</i>	COUS
<i>Apply to</i>	<b>SB of AS using procedure 6 real-time settlement</b>
<i>Business day phase</i>	From night-time till day-light excluding maintenance window
<i>Pre-conditions</i>	<b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others <b>Start of Procedure:</b> ASI starts the procedure at the start of the NTS opens for night-time processing –
<i>Description</i>	<b>SB send a SBTransferInitiation as Current order. It is rejected by ASI due to insufficient funds.</b>
<i>Expected results</i>	<b>Current order rejected by ASI:</b> SB able to receive and treat the negative notification <input type="checkbox"/>
<i>References</i>	UDFS I/2.8.3; IV/6.6 <span style="float: right;">ICM book I,</span>

<i>Test ID</i>	<b>IOP-AS-SB-6RT-630</b>
<i>Function</i>	<b>Procedure 6 real-time Settlement – Current Order MT 202 by SB</b>
<i>Test category</i>	COUS
<i>Apply to</i>	SB of AS using procedure 6 for real-time settlement
<i>Business day phase</i>	Only during the day-light with the exclusion of other phases
<i>Pre-conditions</i>	<p><b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others</p> <p><b>Start of Procedure:</b> ASI starts the procedure at the start of the NTS opens for night-time processing</p>
<i>Description</i>	<b>Settlement Bank send a MT202 as Current order to credit the technical account.</b>
<i>Expected results</i>	<p><b>Funding during night-time and daylight:</b></p> <p>SB sends to technical account of the ASI module a highly Urgent MT202 to fund the technical account of the AS proc 6 real-time <input type="checkbox"/></p> <p>SB is able to receive the optional MT900 (optional) <input type="checkbox"/></p>
<i>References</i>	UDFS I/2.8.3; ICM book I

<i>Test ID</i>	<b>IOP-AS-SB-6RT-650</b>
<i>Function</i>	<b>Procedure 6 real-time Settlement – Current Order MT 202 by SB rejected - (negative case due to insufficient funds)</b>
<i>Test category</i>	COUS
<i>Apply to</i>	SB of AS using procedure 6 for real-time settlement
<i>Business day phase</i>	Only during the day-light with the exclusion of other phases
<i>Pre-conditions</i>	<p><b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others</p> <p><b>Start of Procedure:</b> ASI starts the procedure at the start of the NTS opens for night-time processing</p>
<i>Description</i>	<b>Settlement Bank sends a MT202 as Current order to credit the technical account. It is rejected due to insufficient funds on SB' RTGS account.</b>
<i>Expected results</i>	<p><b>Funding during daylight is rejected:</b></p> <p>SB sends to technical account of the ASI module a highly Urgent MT202 to fund the technical account of the AS proc 6 real-time. <input type="checkbox"/></p> <p>SB is able to receive and treat the optional MT900 (optional) <input type="checkbox"/></p>
<i>References</i>	UDFS I/2.8.3; ICM book I

<b>Test ID</b>	<b>IOP-AS-SB-6RT-660</b>
<b>Function</b>	<b>Procedure 6 real-time Settlement – Current Order U2A by SB (via ICM)</b>
<b>Test category</b>	COUS
<b>Apply to</b>	SB of AS using procedure 6 for real-time settlement
<b>Business day phase</b>	From night-time till day-light with the exclusion of other phases
<b>Pre-conditions</b>	<p><b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account - sufficient funds/overdraft available on relevant accounts - Debit/credit notification for SBs is enabled for some SBs, disabled for others</p> <p><b>Start of Procedure:</b> ASI starts the procedure at the beginning of the NTS opens for night-time processing</p>
<b>Description</b>	<b>Settlement Bank send a Current order on ICM during daylight or night-time phases.</b>
<b>Expected results</b>	<p><b>Funding during night-time and daylight:</b></p> <p>SB sends a Current order on ICM to fund the technical account of the AS proc 6 real-time <input type="checkbox"/></p> <p>SB is able to identify the related payment at the ICM <input type="checkbox"/></p> <p>SB able to receive and treat the corresponding notification MT900 sent by PM (optional) <input type="checkbox"/></p>
<b>References</b>	UDFS I/2.8.3; ICM book I, par.

<i>Test ID</i>	<b>IOP-AS-SB-6RT-670</b>	
<i>Function</i>	<b>Procedure 6 real-time Settlement: Management of Standing order to technical account by Settlement Bank in U2A (via ICM)</b>	
<i>Test category</i>	COUS	
<i>Apply to</i>	SB of AS using procedure 6 for real-time settlement	
<i>Business day phase</i>	Any phase (A2A) or any phase not maintenance period (U2A). Must be type before Cut-off for a settlement at the start of the next business day	
<i>Pre-conditions</i>	<b>General:</b> Correct set-up of static data for AS, Settlement Bank(s) and technical account	
<i>Description</i>	<b><u>Definition, modification and deletion of Standing order on ICM in U2A (via ICM)</u></b>	
<i>Expected results</i>	<p>SB of AS able to:</p> <ul style="list-style-type: none"> <li>- <b><u>define</u></b> on ICM U2A a new standing order to fund the technical account from its RTGS - account, for settlement at the start of day of the NTS <input type="checkbox"/></li> <li>- <b><u>modify</u></b> a pre-existing SO</li> <li>- <b><u>delete</u></b> a pre-existing SO</li> </ul>	
<i>References</i>	UDFS I/2.8.3;	ICM book I, par.